United Nations Development Programme (UNDP)

Yemen Emergency Social Protection Enhancement and COVID-19 Response Project (ESPECRP) (P173582) Additional Financing (P177020) and Additional Financing 2 (P180358)

[Final]

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

February 22, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- 1. The United Nations Development Program ("UNDP") ("Recipients") (for the benefit of the Republic of Yemen), will jointly implement the Emergency Social Protection Enhancement and COVID-19 Response Project (ESPECRP) ("the Project") (for the benefit of the Republic of Yemen), with the involvement of the following implementing partners (IPs): the Yemen Social Fund for Development (SFD) and the Public Works Project (PWP), and Small and micro enterprise promotion service (SMEPs). The Association has agreed to provide financing for the Project.
- 2. The Recipients shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings described to them in the referred agreement.
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipients shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The Recipients are responsible for compliance with all ESCP requirements even where implementation of specific measures and actions is conducted by Implementing Partners (IPs). The Recipients shall require Implementing Partners to implement measures and actions specified in ESCP and ESF through their contractual relationship with the Implementing Partners, monitoring of Implementing Partners' compliance, reporting to the Association, and cooperation with the Implementing Partners to remedy any instances of non-compliance identified. The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
- 4. As agreed by the Association and the Récipients, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipients will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and the Recipients shall promptly disclose the updated ESCP.

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MON	IITORING AND REPORTING		
A	REGULAR REPORTING Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of Environment and Social (E&S) instruments required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s). Monitoring Reports shall be describing project implementation progress and compliance status of the environmental, social, occupational health and safety, mitigation measures, and the functioning of the grievance redress mechanism.	Starting from the Effective Date, UNDP shall consolidate monitoring reports from SFD and PWP every six-months during the Project's implementation and submit updates to the Association in in the Project's narrative progress reports.	UNDP (with SFD, SMEPs and PWP responsibilities defined in their respective subsidiary agreements)
В	INCIDENTS AND ACCIDENTS UNDP shall promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers UNDP shall provide sufficient details regarding the incident or accident, indicating immediate remedial measures taken or that are planned, timeline for the planned measures, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, UNDP shall prepare an investigation report on the incident or accident and propose any measures to prevent its recurrence. These reports will be submitted to the Association.	Throughout Project Implementation. Initial Investigation Report within 48 hours, once confirmed, and Detailed Report within ten days indicating root causes and proposing corrective actions.	UNDP, (With SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
С	CONTRACTORS IMPLEMENTING PROGRESS REPORTS The bidding documents shall include the requirements for the contractor to manage ESHS risks, security risks, SEA/SH risks and security issues during the construction activities. The contractor shall regularly submit monitoring reports to the implementing agencies during operations. The implementing agencies will consolidate and submit these reports to the Association. These requirements include, but not limited, the following: • The Contractor/Bidder shall propose an Environmental, Social, Health and Safety (ESHS) Specialist as the Contractor's Key Personnel at the Site. • All Contractors/Bidders shall submit the Code of Conduct (CoC) that will apply to the Contractor's employees and subcontractors.	The contractors shall propose an ESHS, Prior to implementation at worksites. Regular monitoring to the implementing agencies at a frequency as defined in their contracts; the implementing agencies will consolidate these reports every six months and submit them to the Association in conjunction with the project progress reports throughout Project Implementation and within 30 days from contract signing, or upon request of the Association.	UNDP, (with SFD, SMEPs, and PWP responsibilities defined in their respective subsidiary agreements)
ESS 1	ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIA	L RISKS AND IMPACTS	
1.1	ORGANIZATIONAL STRUCTURE UNDP shall maintain the coordination unit as established under the parent project with qualified staff and resources to support the management of environmental and social risks and impacts of the Project and reflect it in the final ESMF. UNDP shall maintain environmental and social staff and resources mobilized under the parent project, including environmental, social, GBV and OHS specialists. The Implementing Partners will each maintain their environmental, social, GBV and mobilize other necessary E&S specialists under the project when necessary.	Throughout the project implementation E&S specialists shall be hired/maintained by UNDP no later than one month after the Effective Date and shall be maintained throughout Project implementation.	UNDP

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
1.2	Update, disclose, adopt and implement the Environmental and Social Management Framework (ESMF), which shall include provisions on Occupational Health and Safety (OHS), labor management, ESHS risks, and SEA/SH, and the exclusion list in accordance with ESS1. The updated ESMF will also include a Toolkit for the screening of risks associated with cash transfers (CT) under Part 2:: Geo-focused bundles to reduce food insecurity and malnutrition: (a) Subcomponent 2.1: Cash for Nutrition (CfN); (b) Subcomponent 2.2: Cash for Work (CfW); (c) Subcomponent 2.3: Community Assets; and (d) Subcomponent 2.4: Economic Opportunities and food market resilience. Furthermore, the ESMF will include a process and structure for Environmental and social due diligence -including screening- and supervision of the MFIs in a manner acceptable to the Association.	UNDP shall update, disclose and implement the existing project ESMF and OHS Framework within two months after the Effective Date. In the interim, the ESMF for the parent project and additional financing shall be implemented.	UNDP, (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
1.3	MANAGEMENT TOOLS AND INSTRUMENTS Prepare, disclose, adopt, and implement any environmental and social assessments and management plans or other instruments required, in accordance with the ESSs and the ESMF.	Plans or instruments to be prepared and implemented immediately after selecting/approving subprojects and before carrying out any project activity. No disbursement will be undertaken under Part 2 of the Project until site-specific ESMPs/ESAP instruments are prepared, disclosed, and adopted.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBILE
			ENTITY/AUTHORITY
1.4	MANAGEMENT OF CONTRACTORS	Prior to launching the procurement process	UNDP (with SFD, PWP and
	Ensure that the relevant environmental and social requirements	for the Cash for Work, community	SMEPs responsibilities defined
	are incorporated into the project tender and contractual	contracting and Community Assets activities	in their respective subsidiary
	documents, and reflected in the Contractor's ESMP (C-ESMP),	and thereafter supervise contractors	agreements)
		throughout the carrying out of such	
	The contractor's ESMP/ ESAP should include the following key	activities.	
	obligations:		
		/	
	• Specific GBV/SEA/SH actions, Labor Management plans, ESHS		
	specifications and waste management, the EHSGs and other		
	relevant GIIP; a security management measures for their staff	/	
	and equipment.		
	An Environmental, Social, Health and Safety (ESHS) focal points		
	stationed as the Contractor's Key Personnel at the Site.		
	• develop and enforce Code of Conduct (CoC) for all Contractor's		
	employees and subcontractors.		
	Non-compliance remedy for possible E&S violation should be		
	included in the contract with contractors/subcontractors.	/	
	Ensure thereafter that contractors comply with the above during		
	their contract execution.		

MATI	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE
			ENTITY/AUTHORITY
1.5	EXCLUSION	During the assessment process conducted	UNDP
		under action 1.2. above.	
	The following types of activities will be ineligible for financing under		
	the Project:	/	
	Activities that may cause long term, permanent and/or	/	
	irreversible adverse impacts (e.g., loss of major natural habitat);		
	Activities that may have significant adverse social impacts and	/	
	may give rise to significant social conflict.		
	Activities that may affect lands or other vulnerable minorities.		
	Activities, including new constructions or expansions, that may		
	involve, physical relocation or adverse impacts on cultural	/	
	heritage.		
	New constructions or expansions that may involve permanent		
	resettlement or land acquisition.	/	
	Activities that have high probability of causing serious adverse		
	effects to human health and/or the environment not related to		
	treatment of COVID-19 cases.		
	All the other excluded activities set out in the ESMF of the Desired.		
	Project.		
ECC 2	LABOR AND WORKING CONDITIONS		

MATI	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
2.1	LABOR MANAGEMENT PROCEDURES The project shall be carried out in accordance with the applicable requirements of ESS2. The implementing partners will implement labor management procedures following the national labor regulations and ESS 2 for the hiring of project workers including contractor's workers and community workers. The procedures will include terms and conditions of employment including hours of work, wages, overtime, compensation and benefits, holidays, leaves, etc. The procedures will set out measures to prevent and address harassment, intimidation and/or exploitation. UNDP shall update and enforce the Labor Management Procedures (LMP) of the parent project and consistent with ESS2. All project workers will sign a Code of Conduct (CoC). The Recipients shall require all MFIs to prepare and implement Labor Management Procedures for their own workforce in accordance with ESS2 including Occupational Health and Safety (OHS) measures and grievance mechanisms for their own workforce.	LMP shall be updated, disclosed within two months after the Effective Date of the Financing Agreement and enforced throughout project implementation. In the meantime, the Parent Project and Additional Financing LMP will continue to be implemented until the revised version is in place.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS The grievance mechanism required under ESS2 shall be described in the LMP to be updated. The Recipients shall require the Implementing Partners (IPs) to maintain and operate the grievance mechanism already established for Project workers, as described in the LMP and consistent with ESS2.	The GM shall continue to be operational prior to the hiring of any project workers and maintained throughout project implementation.	UNDP (UNDP, with SFD and PWP responsibilities defined in their respective subsidiary agreements)

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE
			ENTITY/AUTHORITY
2.3	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES	Daily implementation of OHS measures.	UNDP (with SFD, PWP and
	Adopt and implement occupational, health and safety (OHS)		SMEPs responsibilities defined
	measures as described in the ESMF. In addition, the IPs will develop	Monitoring and implementation of OHS	in their respective subsidiary
	and implement (prior to the commencements of any construction	measures and monthly safety inspections	agreements)
	work) a specific OHS management plan and will perform safety	throughout the project.	
	audits and site visits to be carried out monthly.	/	
		Contractor's OHS requirements to be	
	The Implementing partners will also:	incorporated into the contracts as part of	
	• Ensure that an appropriate level of management and resources	the bidding documents.	
	are in place to comply with the occupational health and safety		
	requirements, including the free distribution of PPEs,		
	• Provide visible commitment and leadership to occupational	/	
	health and safety,		
	 Provide insurance coverage for project workers, 		
	 Identify and evaluate risks and normalize the activities (rules, 		
	instructions, and procedures),		
	 Analyze all incidents and accidents, 		
	 Evaluate the indicators of OHS performance, 		
	 Carry out internal audits of OHS MS, 		
	Evaluate OHS training requirements,		
	Carry out the medical follow-up of the workers		

MATI	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
2.4	PROJECT WORKERS TRAINING: The Implementing Partners shall provide training to all their project workers, prior to any activity or site work, on basic ESHS risks associated with the proposed activities and construction works and the workers' responsibility. Site specific ESMP to include weekly Toolbox meetings at the work. sites.	The training program shall start prior to implementation at the worksites and occur on a monthly basis.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
	Site engineers will provide a weekly or ad-hoc (if and when required) toolbox talks and/or meetings with the construction workers on ESHS risks associated with the construction activities, which have been executed during the past week and for those which are foreseen to be carried out during the next week.		
ESS 3:	RESOURCE EFFEFICIENCY AND POLLTION PREVENTATION AND MANA	AGEMENT	
	Relevant aspects of this standard shall be considered, as needed, under action 1.2 above, including, inter alia, provisions on waste management will be included in the ESMF	Prior to implementation at the worksite and throughout the project.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
ESS 4	: COMMUNITY HEALTH AND SAFETY		,
4.1	TRAFFIC AND ROAD SAFETY: The Implementing Partners shall develop, adopt and implement site-specific traffic management plans (as part of the ESMP/ESAP) – if needed – with details on traffic volume, routes and time of travel. The plan will ensure the presence of flagmen and adequate signs along the routes, indications of alternative routes. Drivers employed by the Project are trained, and vehicle safety is regularly inspected.	Prior to the preparation and implementation of the ESMPs/ESAPs at the worksite.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)

4.2 COMMUNITY HEALTH AND SAFETY:

Prepare, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities, in accordance with ESS4.

To manage community health and safety, the IPs will:

- Restrict access to worksites and construction areas to prevent unauthorized entry,
- Place adequate signboards to divert pedestrian and community members away from the construction works,
- Place flagmen to reroute vehicles away from the construction areas.
- Raise awareness among communities about health and safety risks, including on SEA/SH, COVID-19 and other communicable diseases, and road safety, as part of the Stakeholder Engagement Plan (SEP).

All visitors to the work area shall wear PPEs, such as safety shoes, hard hats and vest.

Due to COVID-19 risks, activities involving community gatherings shall comply with social distancing and other COVID-19 risks prevention requirements in compliance with WHO guidelines and ESS4.

Potential risks under the Cash for work (CfW), Cash for Nutrition (CfN) and Community Assets activities, such as illicit/inappropriate behavior of Project workers, risks of labor influx, response to emergency situations might require additional mitigation measures to be included in the ESMPs, to be prepared, as relevant, in accordance with the ESMF.

UNDP shall update and implement the security management plans (SMP) of the parent project to ensure security of all Project workers, including workers of UNDP, their implementing agencies and contractors in accordance with ESS4.

Same timeframe for the preparation and implementation of the ESMPs/ESCPs.

UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)

SMP shall be updated within two months after the Effective Date of the Financing Agreement and prior to commencement of project activities and implemented throughout the project. In the interim, the parent project and its updated additional financing (AF) shall be implemented, until

UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)

MATI	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
		the revised version of additional financing 2 (AF2) is in place.	
4.3	GBV AND SEA RISKS: Update, adopt, and implement the stand-alone Gender-Based Violence Action Plan (GBV Action Plan) prepared under the parent project, to assess and manage the risks of gender-based violence (GBV) and Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH).	The GBV action plan to be updated as a stand-alone document within two months after the Effective Date of the Financing Agreement. In the interim, the parent project and updated additional financing (AF) GBV action plan shall be implemented.	UNDP, (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
	The GBV Action Plan shall include provision on GBV- sensitive grievance mechanisms (GMs) in compliance with ESS10. GMs shall have multiple channels through which complaints can be registered in a safe and confidential manner for survivors of SEA/SH.		
4.4	SECURITY PERSONNEL: Prepare, adopt, and implement a stand-alone Security Personnel Management Plan consistent with the requirements of ESS4.	Prior to engaging security personnel and thereafter implemented throughout Project implementation.	UNDP
ESS 5	: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTA	RY RESETTLEMENT	
5.1	Potential land needs will be managed through community contribution. Requirements on land contribution will be included and elaborated in the ESMF in line with ESS5 and disclosed prior project board presentation.	ESMF to be updated, disclosed and adopted not later than 2 months after the Effective Date and shall be maintained throughout project implementation.	UNDP, (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
	Any land needs in subproject activities, associated discussions and agreements of contributions would be carried out and documented in line with ESMF and ESS 5 before carrying out the associated activities.		

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
5.2	GRIEVANCE MECHANISM UNDP will update the existing GM systems under the ongoing parent project in compliance with ESS5 and ESS10, maintain and operate the GM system under the project.	The GMS updating will be done within two months after the Effective Date of the Financing Agreement and its operation will be maintained throughout the project implementation.	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
ESS 6	: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEM	ENT OF LIVING NATURAL RESOURCES	
	Not relevant.	/	
ESS 7	: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY	UNDERSERVED TRADITIONAL LOCAL COM	IMUNITIES
	Not relevant.		
	B: CULTURAL HERITAGE		
8.1	CHANCE FINDS: Prepare, adopt, and implement the chance finds procedure described in the ESMF to be developed for the Project.	Prior to implementation of the project or at worksite and shall continue throughout Project implementation	UNDP (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
ESS 9	: FINANCIAL INTERMEDIARIES		
9.1	Assess ESMS of all potential eligible FIs, as per Project activity component 2.4 (b). The Recipients shall cause all microfinance institutions (MFIs) to prepare, adopt, and maintain an environmental and social management system (ESMS) acceptable to the Association and approved by its senior management or Board of Directors, as appropriate, and will disclose relevant parts of their ESMS on their website. Whereas MFIs' ESMS were not available or inadequate, an ESMS shall be developed in compliance with the requirements of the ESMF and ESS9. MFIs shall appoint a representative from the senior management to manage and monitor E&S risks in subprojects.	Prior to the implementation of the MFI project activities. MFIs shall maintain organizational capacity to implement the ESMS,	UNDP, (with SFD, PWP and SMEPs responsibilities defined in their respective subsidiary agreements)
9.2	FI ORGANIZATIONAL CAPACITY:	Prior to implementation of project activities to be implemented by respective MFI	UNDP

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
	Plans or instruments to be prepared immediately after selection/approval of subprojects and before the start of the loan guarantee program and on-lending activities and thereafter throughout the implementation of such activities. Instruments to be prepared - as needed in accordance with ESS9.		
9.3	SENIOR MANAGEMENT REPRESENTATIVE: N/A_Designate a senior management representative to have overall accountability for environmental and social performance of FI subprojects and for identifying, contacting, communicating, and maintaining a regular dialogue with local, formal, and informal authorities, and beneficiaries to facilitate smooth execution of project activities. O: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE	Prior to implementation of project activities to be implemented by respective MFI	UNDP
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION UNDP shall adopt, disclose, and implement the updated Stakeholder Engagement Plan (SEP) in a manner that is consistent with ESS10.	The preliminary UNDP SEP for the parent project has been disclosed prior to appraisal of the parent project and has been updated to include the activities of the AF1 and AF2. The updated SEP will be disclosed prior to appraisal and will be implemented throughout project implementation.	UNDP

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
10.2	PROJECT GRIEVANCE MECHANISM UNDP will update and publicly make accessible grievance arrangements as described in the SEP, to receive and facilitate resolution of concerns and grievances in relation to the Project, in a manner consistent with ESS10. A reporting system for grievance mechanisms (GMs), shall be developed, adopted and maintained by UNDP.	GM is operational and shall be maintained throughout Project implementation.	UNDP
CAPA	CITY SUPPORT (TRAINING)		
CS1	 Trainings will be required for project staff, stakeholders, communities and project workers, including: TOT training on Environmental and Social Assessment and E&S Risk Management (for UNDP, MFIs and IP professional staff); Gender, GBV and SEA/SH Prevention and Response Stakeholder Mapping and Engagement and Community Participation Disaster Risk Reduction and Management; Community health and safety Remote Monitoring Implementation 	Capacity building and training activities shall implement upon effectiveness of the additional financing 2 and throughout project implementation	UNDP, (with SFD, PWP, SMEPs and MFI responsibilities defined in their respective subsidiary agreements)

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY	
CS2	Training for Project workers on OHS including on emergency prevention and preparedness and response arrangements to		UNDP, (with SFD, PWP and SMEPs responsibilities defined	
	emergency situations:	Prior to subprojects' implementation or ESMP/ESAP, workers shall train on the	in their respective subsidiary agreements)	
	 Training sessions covering the detailed activities for the subprojects, their safety requirements, PPEs usage and maintenance, permit to work, and emergency procedures for all workers. 	safety requirements, PPEs, work to permits and other and these training modules shall be trained throughout the project implementation		
	Cholera and COVID-19 awareness sessions to qualified community members, who will then conduct door to door campaigns in the targeted areas to raise health and environmental awareness among communities.			
	Emergency Response Plan (details about the nearest hospital or medical center, responsibilities and chain of command for all works, drills and first aid, and a list of trained first aiders to be known by all workers).			

United Nations Children's Fund (UNICEF)

Yemen Emergency Social Protection Enhancement and COVID-19 Response Project (ESPECRP) Second Additional Financing (P180358)

[Final]

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN (ESCP)

February 22, 2023

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

- 1. The United Nations Children's Fund ("UNICEF") (hereinafter the "Recipient"), (for the benefit of the Republic of Yemen) will jointly implement the Social Protection Enhancement and COVID-19 Response Project (SPECRP) ("the Project"), with the involvement of the following implementing partner: the Yemen Social Fund for Development (SFD). The Association has agreed to provide financing for the Project.
- 2. The Recipients shall ensure that the Project is carried out in accordance with the Environmental and Social Standards (ESSs) and this Environmental and Social Commitment Plan (ESCP), in a manner acceptable to the Association. The ESCP is a part of the Financing Agreement. Unless otherwise defined in this ESCP, capitalized terms used in this ESCP have the meanings ascribed to them in the referred agreement.
- 3. Without limitation to the foregoing, this ESCP sets out material measures and actions that the Recipients shall carry out or cause to be carried out, including, as applicable, the timeframes of the actions and measures, institutional, staffing, training, monitoring and reporting arrangements, and grievance management. The Recipients have the responsibility for compliance with all ESCP requirements even where implementation of specific measures and actions is conducted by Implementing Partner (IP). The ESCP also sets out the environmental and social (E&S) instruments that shall be adopted and implemented under the Project, all of which shall be subject to prior consultation and disclosure, consistent with the ESS, and in form and substance, and in a manner acceptable to the Association. Once adopted, said E&S instruments may be revised from time to time with prior written agreement by the Association.
- 4. As agreed by the Association and the Recipients, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, the Recipients will agree to the changes with the Association and shall update the ESCP to reflect such changes. Agreement on changes to the ESCP shall be documented through the exchange of letters signed between the Bank and the Recipients will promptly disclose the updated ESCP.

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
MON	ITORING AND REPORTING		
A	Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of Environment and Social (E&S) instruments required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s). Monitoring Reports shall be describing project implementation progress and compliance status of the environmental, social, occupational health and safety, mitigation measures, and the functioning of the	Starting from the Effective Date, UNICEF shall consolidate monitoring reports from SFD every six-months during the Project's implementation and submit updates to the Association in the Project's narrative progress reports.	UNICEF
В	INCIDENTS AND ACCIDENTS UNICEF will promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. UNICEF shall provide sufficient details regarding the incident or accident, indicating immediate remedial measures taken or that are planned, timeline for the planned measures as appropriate. Subsequently, UNICEF shall prepare a detailed report on the incident or accident and propose any measures to prevent its recurrence. UNICEF will provide the Association with a description of the Significant Event and measures that are taken or planned to be taken to address the Event.	Throughout Project Implementation. Initial investigation Report within 48 hours, once confirmed, and Detailed Report within ten days indicating possible causes and proposing corrective actions.	UNICEF

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
ESS 1	ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS		
1.1	ORGANIZATIONAL STRUCTURE UNICEF shall maintain a coordination unit with qualified staff and resources to support the management of environmental and social risks and impacts of the Project and reflect it in the final ESMF. UNICEF shall maintain environment and social staff mobilized under the parent project to support the management of ESHS risks and impacts concerning the CT component. UNICEF shall require SFD to maintain E&S staff and hire additional staff, as needed and in agreement with the Association.	Mobilized E&S specialists shall be maintained by UNICEF and SFD throughout Project implementation.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT Update, disclose, adopt and implement the Environmental and Social Management Framework (ESMF), which shall include provisions on the E&S risks relevant to the CT component. The ESMF includes a Toolkit for the screening of risks associated with the cash transfers (CT) component in a manner acceptable to the Association.	The ESMF of the parent project shall be updated and disclosed within two months after the Effective Date. In the interim, the ESMF for the parent project shall be implemented.	UNICEF
1.3	MANAGEMENT TOOLS AND INSTRUMENTS Prepare, disclose, adopt, and implement any environmental and social assessments and management plans or other instruments required, in accordance with the ESSs and the ESMF.	Plans or instruments to be prepared and implemented immediately before carrying out any project activity.	UNICEF

MAT	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
1.4	 Exclude the following types of activities as ineligible for financing under the Project: Activities that may have significant adverse social impacts and may give rise to significant social conflict; All the other excluded activities set out in the ESMF of the Project. 	During the assessment process conducted under action 1.2. above.	UNICEF
ESS 2	LABOR AND WORKING CONDITIONS		
2.1	LABOR MANAGEMENT PROCEDURES The project shall be carried out in accordance with the applicable requirements of ESS2. UNICEF shall update the Labor Management Procedures (LMP) of the parent project, in accordance with UNICEF policies and procedures, consistent with ESS2. The LMP will also set out measures to prevent and address harassment, SEA/SH, intimidation and/or exploitation as defined in the UNICEF regulations and rules. UNICEF will ensure that its implementing partners carry out the Project in accordance the Labor Management Procedures following the national labor regulations, consistent with ESS2 and in a manner acceptable to the Association and consistent with ESS2 and UNICEF's regulations and rules for the hiring of project workers, including contractor's workers. Accordingly, prior to carrying out activities under the Project, UNICEF will cause its implementing partners to ensure that all Project workers sign a code of conduct (CoC) to uphold ethical standards, and comply with relevant ESS2 obligations and national labor legislation.	The Labor Management Procedures (LMP) of the parent project shall be updated and will be disclosed within two months after the Effective Date of the Financing Agreement. In the meantime, the Parent Project LMP will continue to be implemented until the revised version is in place All Projects workers shall sign the CoC prior to carrying out activities under the Project.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
2.2	GRIEVANCE MECHANISM FOR PROJECT WORKERS The grievance mechanism required under ESS2 shall be described in the LMP to be updated. The Implementing Partners (IPs) shall maintain, and operate the grievance mechanism for Project workers, as described in the LMP and consistent with ESS2.	The GM shall be maintained throughout project implementation.	UNICEF (with SFD responsibilities defined in their subsidiary agreement)
2.3.	OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES Adopt and implement occupational, health and safety (OHS) measures which are relevant to the CT component as described in the ESMF. The Implementing partners will also: Ensure that an appropriate level of management and resources are in place to comply with the occupational health and safety requirements, including the free distribution of PPEs;	Implementation of OHS measures during payment cycles and adherence by UNICEF implementing partners and vendors during the project implementation.	UNICEF (with SFD responsibilities defined in their subsidiary agreement)
	 Provide visible commitment and leadership to occupational health and safety; Identify and evaluate risks and normalize the activities (rules, instructions, and procedures); Analyze all incidents and accidents; Evaluate the indicators of OHS performance; Carry out internal audits of OHS MS; Evaluate OHS training requirements, Carry out the medical follow-up of the workers. 		
2.4	PROJECT WORKERS TRAINING: The Implementing Partner shall provide training to all their workers, prior to commencement of project activities as needed.	The training program shall occur on a monthly basis.	UNICEF (with SFD responsibilities defined in their subsidiary agreement)

MATE	RIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
ESS 3	RESOURCE EFFICIENCY AND POLLTION PREVENTATION AND MANAGE	EMENT	
	Not relevant		
ECC 4	- COMMUNITY HEALTH AND CAFFTY		
	: COMMUNITY HEALTH AND SAFETY	The Constitution of Discovery	LINUCES / TIL CED
4.1	COMMUNITY HEALTH AND SAFETY: Update, adopt, and implement measures and action to assess and manage specific risks and impacts to the community arising from Project activities in accordance with ESS4. Due to COVID-19 risks, activities involving community gatherings shall comply with social distancing and other COVID-19 risks prevention requirements in compliance with WHO guidelines and ESS4. UNICEF shall update and implement the security management plans to ensure security of Project workers in accordance with ESS4.	The Security Management Plan (SMP) shall be updated within two months after the Effective Date of the Financing Agreement and prior to commencing project activities and implemented throughout the Project implementation.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY		
4.2	GBV AND SEA RISKS: Update, adopt, and implement the Gender-Based Violence Action Plan (GBV Action Plan) of the parent project, to assess and manage the risks of gender-based violence (GBV) and Sexual Exploitation and Abuse (SEA) and Sexual Harassment (SH), in accordance with ESS4. The GBV Action Plan shall include provision on GBV- sensitive grievance mechanisms (GMs) in compliance with ESS10. GMs shall have multiple channels through which complaints can be registered in a safe and confidential manner for survivors of SEA/SH.	The GBV action plan to be updated within two months after the Effective Date of the Financing Agreement. In the interim, the GBV action plan for the parent project shall be implemented.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)		
4.3	SECURITY PERSONNEL: Maintain and implement a stand-alone Security Personnel Management Plan (SMP) consistent with the requirements of ESS4. UNICEF will ensure full compliance with the security guidelines set in the UNSMS, IA Saving Life Together (STL) and UNICEF security procedures to ensure project workers' security.	Security Personnel Management Plan (SMP) shall be adopted within two months of effectiveness date and implemented throughout project implementation. In the interim, the SMP for the parent project shall be implemented.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)		
ESS 5	: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTA	RY RESETTLEMENT			
	Not relevant.				
ESS 6	BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT O	F LIVING NATURAL RESOURCES			
	Not relevant.				
ESS 7	: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UND	ERSERVED TRADITIONAL LOCAL COMMUNI	TIES		
	Not relevant.				
ESS 8	: CULTURAL HERITAGE				
	Not relevant.				
ESS 9	: FINANCIAL INTERMEDIARIES				
	Not relevant.				
ESS 1	ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE				

MATE	ERIAL MEASURES AND ACTIONS	TIMEFRAME	RESPONSIBILE ENTITY/AUTHORITY
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION UNICEF shall adopt with UNDP, disclose, and implement the updated Stakeholder Engagement Plan (SEP) in a manner that is consistent with ESS10.	The preliminary UNICEF/UNDP SEP was disclosed prior to the appraisal of the parent project. The updated UNICEF/UNDP SEP shall be implemented throughout project implementation.	UNICEF, UNDP (with SFD, PWP responsibilities defined in their subsidiary agreement)
10.2	PROJECT GRIEVANCE MECHANISM UNICEF will update and publicly make accessible grievance arrangements as described in the SEP, to receive and facilitate resolution of concerns and grievances in relation to the Project, in a manner consistent with ESS10. A reporting system for grievance mechanisms (GMs) shall be maintained by UNICEF and shall be based on common principles.	GMs to be maintained operational / or operational by the Effective Date throughout Project implementation.	UNICEF, (with SFD responsibilities defined in their subsidiary agreement)
CAPA	CITY SUPPORT (TRAINING)		
CS1	 Trainings will be required for project staff, stakeholders, communities and project workers, including: Gender, GBV and SEA prevention and management and referral pathways. Training for project workers on OHS including COVID-19 preventive measures. 	Throughout project implementation. These trainings will be agreed with the Association prior to start of implementation of project activities	UNICEF (with SFD responsibilities defined in their subsidiary agreements)